

सामुहिक प्रोत्साहन योजना-२००७

सुक्ष्म लघु आणि मध्यम उद्योग घटकांना स्वच्छ

उत्पादनाकरीता प्रोत्साहन- कार्यनियमावली.

महाराष्ट्र शासन

उद्योग, ऊर्जा व कामगार विभाग

शासन निर्णय क्र. साप्रोयो-२११०/प्र.क्र. २३९/उद्योग-८

मंत्रालय, मुंबई- ४०० ०३२

दिनांक - १८ जून, २०११.

वाचा- १) शासन निर्णय क्र पीएसआय-१७०७/प्र.क्र. ५०/उद्योग-८, दि. ३०/३/२००७.

२) राज्यस्तरीय सल्लागार समितीच्या दि. १/१०/२०१० रोजी झालेल्या बैठकीचे इतिवृत्त.

शासन निर्णय-

सामुहिक प्रोत्साहन योजना-२००७ मधील परिच्छेद ५.७ नुसार सुक्ष्म, लघु व मध्यम उद्योग घटकांच्या बळकटीकरणासाठी स्पर्धात्मक गुणवत्ता वाढविणे, संशोधन आणि विकास व तंत्रज्ञानवृद्धी याकरीता खालील प्रोत्साहने देय करण्यात आली आहेत.

१) तंत्रज्ञान वृद्धीकरीता भांडवली यंत्रसामुग्री वर केलेल्या गुंतवणूकीच्या ५ टक्के, रु. २५ लाखाच्या मर्यादेत.

२) गुणवत्ता प्रमाणपत्रासाठी केलेल्या खर्चाच्या ५० टक्के, रु. १ लाखाच्या मर्यादेत.

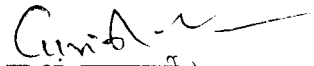
३) स्वच्छ उत्पादन (प्रदुषण मुक्त) उपाययोजनेकरीता केलेल्या खर्चाच्या २५ टक्के, ५ लाखाच्या मर्यादेत.

४) पेटंट रजिस्ट्रेशनकरीता केलेल्या खर्चाच्या ५० टक्के, ५ लाखाच्या मर्यादेत.

२. वरील प्रोत्साहने देण्यासाठी उद्योग घटकांची पात्रता निश्चित करण्याचे व प्रोत्साहने मंजूर करण्याचे अधिकार प्रधान सचिव (उद्योग) यांच्या अध्यक्षतेखालील राज्यस्तरीय समितीस देण्यात आले आहेत. राज्यस्तरीय समितीच्या दि. १/१०/२०१० रोजी झालेल्या बैठकीत स्वच्छ उत्पादन (प्रदुषण मुक्त) उपाययोजना करीता केलेल्या गुंतवणूकीवर प्रोत्साहने मंजूर करण्याकरीता उद्योग घटकांच्या पात्रतेचे निकष निश्चित करण्यासाठी व प्रोत्साहने मंजूर करण्याकरीता सोबत जोडलेल्या कार्यनियमावलीस (परिशिष्ट १ ते ५) मान्यता दिली आहे. राज्यस्तरीय सल्लागार समितीने मान्यता दिलेल्या या कार्यनियमावलीस शासन मान्यता देत आहे.

३. हा शासन निर्णय शासनाच्या www.maharashtra.gov.in या संकेतस्थळावर उपलब्ध असून त्याचा संगणक संकेतांक क्रमांक 20110617170058001 असा आहे.

महाराष्ट्राचे राज्यपाल यांच्या आदेशानुसार व नावाने,


(दा.अं. कुलकर्णी)
शासनाचे अवर सचिव

सहपत्र- परिशिष्ट १ ते ५.

प्रत,

१) विकास आयुक्त (उद्योग), उद्योग संचालनालय, मुंबई.

२) वित्त विभाग, मंत्रालय, मुंबई.

३) विक्रीकर आयुक्त, विक्रीकर भवन, माझगांव, मुंबई.

**Annexure I to V of Government Resolution Industry ,Energy and Labour
Department No. PSI 2110 /CR 239/Dated 18th June, 2011.**

Annexure I

**Sanction and Disbursement of Incentives – Subsidy for the expenses
incurred for Cleaner Production Measures**

(Read Para 5.7 & GR PSI-1707/CR-507/IND-8, dt.30.3.2007)

The State Government has declared the Industrial, Investment, Infrastructure Policy 2006 to ensure sustained industrial growth, further improve the conducive industrial climate in the State, provide a global competitive edge to the State's industry, and promote employment and balanced regional development. As envisaged in the Policy, the Government of Maharashtra subsequently announced the Package Scheme of Incentives (PSI) 2007 vide Government Resolution No. PSI-1707/(CR-50)/Ind-8, dated 30th March 2007. The Government Resolution outlines the eligibility criteria, quantum of incentives and monitoring mechanism for administering the Scheme.

The concept of incentives for strengthening of Micro, Small and Medium Manufacturing Enterprises has been incorporated in the PSI-2007 and the quantum of incentives outlined there in (para 5.7 of G.R. dated 30th March 2007).

Cleaner Production Measure is a preventive, company-specific environmental protection initiative. It is intended to minimize waste & emission and maximize product output. By analysing the flow of materials and energy in a company, one tries to identify options to minimize waste and emissions out of industrial processes through source reduction strategies. Improvements of organisation and technology help to reduce or suggest better choices in use of materials and energy, and to avoid waste, waste water generation, and gaseous emissions, and also waste heat and noise.

Cleaner production (CP) is a strategy to minimize the waste through the

1. Prevention of waste through good house keeping, improved process management, New or modified production process/ equipment/ technologies/ material substitution / reuse/ recovery & change in product producing useful by products.
2. Promote better environmental performance through reduction at source of waste and emissions
3. Systematic approach to identify & implement options for improvement.
4. Continuous improvement by minimising waste

The procedure for sanction and disbursement of incentives for cleaner production measures for strengthening of Micro, Small and Medium Manufacturing Enterprises, is as under;

1. Eligible units:

Unit holding Eligibility Certificate under PSI-2007 and incurred expenditure for **Cleaner Production (CP) Measures** during the period 1.4.2007 to 31.3.2011 shall be considered eligible for subsidy

2. Eligible cleaner production measures & quantum of assistance:

2.1 Expenditure on following items shall be considered.

Expenditure incurred on the items certified by the designated authority of MPCB for setting up of Effluent treatment plant, dust collectors, waste water recycling, zero discharging, air pollution control equipment , air scrubbers, air curtains, Water pollution control equipments, any other cleaner production measures suggested by MPCB / CPCB, other Cleaner Production Measures recognized under UNEP.

2.2 The total quantum of assistance will be 25% of the eligible expenditure subject to maximum limit of Rs. 5.00 lakh.

3. GENERAL PROVISIONS:

3.1 Application for grant of subsidy of cleaner production measures

(1) Valid application for incentives under cleaner production measures shall be filed with the concerned implementing agency by an Eligible Unit only after it has obtained Eligibility Certificate.

(2) Valid application shall comprise of the following,

i) The application in format as given in Annexure II

ii) Certificate from Chartered Accountant in Annexure III

iii) Detailed project report and plan of cleaner production measure approved by appropriate authority /department.

iv) Certificate from MPCB in Annexure IV

The Implementing Agency may, as per need, call for any other information and / or documents as may be deemed necessary.

(3) Valid application shall be filed with the Implementing Agency after issue of Eligibility Certificate, and within 6 months from expiry of the period of Eligibility Certificate as defined under PSI 2007. Failure to file valid application within the prescribed period shall render the application invalid.

3.2 Sanctioning and disbursement agency -

- (1) The implementing agency shall be the sanctioning and disbursement agency.
- (2) Upon receipt of valid application, the Implementing Agency shall ordinarily dispose off the application within 30 days. (The format for the sanction of incentive shall be as per format at Annexure V.)

4. PROCEDURE FOR DISBURSEMENT OF SUBSIDY:

The cases approved / sanctioned by the Implementing agency will be disbursed as per the availability of funds. The disbursement of sanctioned amount shall be made chronologically as per funds made available by the Government. The disbursement will be done through electronic transfer of funds.

5. MONITORING AND REVIEW:

Monitoring of the production activities of the eligible unit will be done as per the provisions of para 6.1 and 6.2 of the Government Resolution no. PSI-1707/(CR-50)/ IND - 8 dated 30 March 2007 of Package Scheme of Incentives 2007.

ANNEXURE – II

**Application form for Incentives under Para 5.7 – Cleaner Production Measures
under Package Scheme of Incentives - 2007**

1	Application Number (For Office Use)			
2	Date of Receipt (For Office Use)			
3	Name of the Applicant Unit			
4	Factory address of the unit			
5	EM Part II No & Date			
6	EC NO (PSI-2007) & Date			
7	Date of Commencement of commercial production	DD/MM/YYYY		
8	Products manufactured	1) 2) 3)		
9	Constitution a. Authority of Certification : b. Letter /Ack. No. of Incorporation : c. Date of Establishment :			
10	Name & Address of Prop./Partners/Directors a. Name : b. Residential Address – 1 } : Address – 2 } : Address – 3 } : c. Telephone No.& E-mail :			
11	Capital Cost of the Project (Rs. in Lacs)		As per EC	Add Investment for Cleaner Production Measures.
		Land		NIL
		Building		NIL
		Cleaner production Measure assets		
		Other Assets		
	Total			
12	Means of Finance	Means Source Amount (Rs.)		

13	Brief description of cleaner production measures carried out..	
14	Employment	Workers
		Supervisors
		Total
15	Connected load (KW/HP)	
16	List of Enclosures	

1. Certified that no claim for incentives for cleaner production measures has been sanctioned or disbursed the project / unit aforesaid and that this is the first application in regard to the project / unit aforesaid.
2. Certified that the information / statement contained in this application are true to the best of my / our knowledge and belief.
3. Declared that no Government enquiry has been instituted against the applicant unit and / or any of its Proprietor / Partner(s)/ Director(s) of this applicant unit for any economic offence.
4. We hereby agree to abide by the terms and conditions of the claim sanction to be issued. In pursuance of the above application for incentives for Cleaner Production measures under para 5.7 of Package Scheme of Incentives - 2007.
5. We hereby agree that the Certificate of Entitlement / claim sanction letter issued on the basis of the above statements made and information furnished either alongwith this application or hereafter in connection with the above matter is liable to be canceled ab-initio or rendered invalid or withdrawn if any of the statements and / or information is / are found to incorrect / untrue. All the momentary benefits already availed of on the basis of the Claim Sanction so cancelled shall be recoverable as Government dues forthwith alongwith compound interest at @ 15% per annum or at such other higher rate as may be fixed by the Implementing Agency from time to time and no further benefits will be available to the project unit for which the above application is made.

Signature of the applicant
Proprietor / Partner / Director / Trustee

Place :

Date :-

(This application shall be signed only by any one of the persons indicated above with appropriate rubber stamps of the applicant and designation of the signatory)

ANNEXURE - III
C.A. Certificate for Expenditure Incurred on Cleaner Production Measures.

This is to certify that M/s. -----
(Name of the unit)

Unit located at -----
(Factory address)

Period covered ----- to -----

Has carried out cleaner production measures the details of expenditure incurred upto date /
/ is as under.

Sr.No.	Description of Machinery / Equipment	Name of Supply	Bill No.Date	Amount Rs	Date of supply	Date of installation	Old or New
1.							
2.							
3.							
4.							

Certificate :

The abovementioned machinery / equipments are new and have been acquired and installed at site of the aforesaid applicant unit.

We have checked the books of account of the company the invoices etc. and certify that the aforesaid information is verified and found to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the company except as stated here in above.

Date :

Seal & Signature of C.A.

Place :

Annexure IV

Certificate to be issued by Maharashtra Pollution Control Board for Cleaner
Production Measures under Package Scheme of Incentives – 2007

Certificate

This is to certify that M/s -----
located at -----, has created following assets of Rs.-----
-----Lacs for Cleaner Production Measures at site as on / / 20 .

Sr No.	Particulars of Assets	Value of Assets	Remarks

This certificate is issued for sanction of incentives for Cleaner Production
Measures under para 5.7 of Package Scheme of Incentives – 2007 to M/s. -----
-----.

Date:

Sign

Place:

Designation

Maharashtra Pollution Control Board

ANNEXURE - V

Eligibility Certificate for Cleaner Production Measures

Under Package Scheme of Incentives - 2007

Read Para 5.7 & GR Dt.PSI-1707/CR-507/IND-8, dt.30/03/2007

Ref No. DIC/PSI-2007/TU/EC

Date of issue -----

1.	Name of the Eligible Unit	
2.	Factory address of the unit	
3.	EM Part II No /I.L.No. & Date	
4.	Date of Commencement of commercial production	DD/MM/YYYY
5.	Products manufactured	1) 2) 3)
6.	Capital Cost of the Project	Land
		Building
		Plant & M/c.
		Other Assets
		Total
7.	Capital Investment made for cleaner production measures.	Building
		Plant & M/c
		Erection & in installation charges
		Others (Pl.. Specify)
		Total
8.	Eligible Capital Investment for cleaner production measures.	Rs.
9.	Subsidy sanctioned @ 25 % of 8 above limited to Rs.5 Lacs	Rs.

Terms and Conditions

1. This Eligibility Certificate is granted on the basis of the information / details furnished by M/s. ----- Under the application for grant of eligibility certificate under the Package Scheme of Incentives – 2007 notified vide Government Resolution, Industries, Energy and Labour PSI – 1707/(CR-50)IND-8 dated 30th March, 2007 (hereinafter referred to as “ PSI-2007 ”) for making investment towards their project at----- (hereinafter referred to as on ‘ Eligible Unit ’) Subject to :
 - a) The investment should be incurred within a period shown in the EC (annexure-I) The holder of this certificate shall communicate to the Directorate of Industries, value of fixed assets acquired up to the aforesaid date within on month from such date.
 - b) Correctness of the representations made there under and from time to time thereafter, the Gross Value of admissible Fixed Capital Investment made as indicated there under count to be the order of and for manufacture of as per details shown in the EC (annexure-I).
2. The holder of this Eligibility Certificate will be entitled to draw the incentives as per the provisions of the 2007 Scheme and procedure there under having regard to the Gross Value of Fixed Capital Investment made, term loans for the project drawn and the overall progress of the implementation of the project to the satisfaction of Directorate of Industries (hereinafter referred as D.I./Government of Maharashtra)
3. The holder of the Eligibility Certificate shall :-
Furnish to D.I. full details / information / supporting documentary evidence / certificate from Chartered Accountants and Architect’s regarding the Gross Value of Fixed Capital Investment made sources of funds / term loan drawn, other finance availed of from time to time.
4. The Eligibility Certificate will be subject to the following conditions :-
 - (i) DI shall have a right to carry out a full inspection of the Eligible Unit including that of its properties, assets, accounts, records, registers, documents, etc. wherever situate, at such intervals as it may deem fit, Such inspection by DI’s authorized representatives of the Books of Accounts, records, registers, documents, property and assets of the Eligible Unit shall be without any restrictions and the cost of the same shall be borne and paid for by the Eligible Unit.
 - (ii) The holder of the Eligibility Certificate shall ensure that in the matter of employment of personnel for the Eligible Unit for which this Eligibility Certificate is issued, Scheduled Castes / Scheduled Tribes and local candidates are recruited in conformity with the guidelines as may be issued by the Government of Maharashtra from time to time, and the holder of the Eligibility Certificate, shall further ensure that recruitment to posts carrying as salary of less than Rs. 500/- per month (on any such salary amount as may be decided by the Government of Maharashtra from time to time) is made only through the concerned Employment Exchange, or any such body as may be decided by the Government from time to time.

- (iii) The holder of the Eligibility Certificate shall furnish to Directorate of Industries such periodical statement / such other information / follow up statements in the form and manner required by Directorate of Industries. If any of the returns / periodical statements, follow up statements, etc. referred to in the sub-clause (ii) above are not submitted, it shall be open to Directorate of Industries to presume that the Eligible Unit is closed and accordingly the clause of action as indicated in condition No. (v) will be deemed to have arisen.
- (iv) The holder of the Eligibility Certificate shall comply with all the terms, conditions and provisions of the 2007 Scheme and all stipulations as may be made from time to time as also the procedure prescribed there under and in force from time to time.
- (v) During the operative period of Agreement(s) for incentives under the 2007 Scheme entered from time to time, the industrial unit, for which this Eligibility Certificate is issued, shall not without prior approval of approval of DI, contemplate an event :
1. Sell or otherwise dispose off / lease / hire / shift / remove / transfer / alienate in any manner, with or without consideration, any of the fixed assets of the Eligibility unit.
 2. Write off / keep the fixed assets in disuse without writing them off ;
 3. Close the unit or shift the unit in part or in its entirety from its existing location to any other location;
 4. Change or alter the constitution / management / constituents comprising the ownership of the Eligible Unit.
 5. where the Eligible Unit is owned by a limited Company / Co-operative Society / Trust, the Limited Company, Co-operative Society, Trust as the case may be, shall not merge or amalgamate with any other Limited Company/ Co-operative Society / Trust or permit or cause any other Limited Company / Co-operative Society / Trust to be merged with the existing Company / Co-operative Society /Trust.
- (vi) If during the operative period of the Agreement (s) entered into by the holder of this Eligibility Certificate, the industrial unit for which this Eligibility Certificate is issued
- a) is closed or continues to remain below normal production during the year or
 - b) contravenes :
 1. any of the conditions or this certificate ;
- OR
2. any of the conditions of the 2007 Scheme underlying Government Resolution mentioned above or the procedure framed thereunder;
- OR
3. instructions or directions issued under the 2007 Scheme or production there under from time to time by the Government or D.I.;
- OR
4. The terms and conditions of the various agreements executed / to be executed under the 2007 Scheme and the procedure framed thereunder the amount of incentives availed of together with interest thereon from the date when it would have been payable but for the exemption at 15 % p.a. or any such rates

as may be decided by the Government or D.I. from time to time and costs, charges and expenses for recovering the same and other charge (if any), outstanding or the date of occurrence of such event, shall be immediately payable. If these are not paid on demand, the Government shall to recover the same as arrears of land revenue.

- (vii) In case or breach of any of the conditions of this Eligibility Certificate or in case the Eligibility Certificate is found to have been issued on the basis of incorrect information furnished or untrue statement made either in the application for Eligibility Certificate or any subsequent proceedings of any nature what so ever or if any misuse of this Eligibility Certificate towards evasion / or aiding or abetting at the evasion of benefit by way of incentives not legally claimable under the provisions of the 2007 Scheme is found / detected, then this Eligibility Certificate shall stand revoked ab-initio. All the pecuniary benefits as may have been granted shall be withheld and liable to be cancelled and those availed of shall be repayable forthwith and liable to be recovered as arrears of land revenue together with interest at the rate of 15 % p.a. or such rate as may be decided by the Government or Directorate of Industries from the date of disbursement / availment till full realization or the amount and expenses for the recovery of the same.

Place :

Date :

Signature & Designation
of Issuing Authority